

ANNEXURE III

BULK UPLOAD FILE FORMATS

Order entry / cancellation/modification Bulk file upload format

| Field | Type | Description |
|-----------------------|------------|--|
| Symbol | String(10) | Symbol |
| Series | String(2) | Series (IS/RS/ES) |
| Client Type | String(3) | Proprietary - PRO , Client - CLI |
| Client Id | String(10) | This field should contain the Client ID/Account Number. In case of PRO orders, this field should contain trading member id. |
| Participant Id /TM Id | String(12) | Custodian Participant Number. In case of PRO orders, this field should contain trading member id. |
| Margin Type | String(1) | Margin Type of the order placed. For 100% Margin - 1 For 0% Margin - 0. |
| Quantity | Long | Quantity for which order is placed. |
| Price | BigDecimal | Price at which order is placed. Format: Integer = Max 6 Fraction = Max 2 In case of Market/Cut off orders this field should be "MKT" |
| Operation Type | String(2) | This field denoted the operation to be performed: 'E' - Order Entry 'M' - Order Modification 'C' - Order Cancellation |
| Order Id | String(16) | Order number of the bid. In case of order entry, this field should be blank |

Order Success File format

| Field | Type | Description |
|-----------------------|------------|---|
| Symbol | String(10) | Symbol |
| Series | String(2) | Series (IS/RS/ES) |
| Client Type | String(3) | Proprietary – PRO Client - CLI |
| Client Id | String(10) | Client Id |
| Participant Id /TM Id | String(12) | Participant Id /TM Id |
| Margin Type | String(1) | Margin Type. For 100% Margin - 1 For 0% Margin - 0. |
| Quantity | Long | Quantity for which order is placed. |
| Price | BigDecimal | Price at which order is placed. In case of Market/Cut off orders this field will contain “MKT” |
| Order Status | String(30) | Order Status |
| Order Id | String(16) | Order number of the bid. In case of validation error in order entry, this field will be blank |

Order Error File format

| Field | Type | Description |
|-----------------------|------------|---|
| Symbol | String(10) | Symbol |
| Series | String(2) | Series (IS/RS/ES) |
| Client Type | String(3) | Proprietary – PRO Client - CLI |
| Client Id | String(10) | Client Id |
| Participant Id /TM Id | String(12) | Participant Id /TM Id |
| Margin Type | String(1) | Margin Type. For 100% Margin - 1 For 0% Margin - 0. |
| Quantity | Long | Quantity for which order is placed. |
| Price | BigDecimal | Price at which order is placed. In case of Market/Cut off orders this field will contain “MKT” |
| Order Id | String(16) | Order number of the bid. In case of validation error in order entry, this field will be blank |
| Error Message (s) | Text | This will contain error/validation message for the order request. Delimited by semicolon “;” |

Carry Forward Bulk file upload format

| Field | Type | Description |
|----------------------|-------------|--|
| Symbol | String(10) | Symbol |
| Series | String(2) | Series (IS) |
| Client Type | String(3) | Proprietary - PRO , Client - CLI |
| Client Id | String(10) | This field should contain the Client ID/Account Number. In case of PRO orders, this field should contain trading member id. |
| Participant Id/TM Id | String(12) | Custodian Participant Number. In case of PRO orders, this field should contain trading member id. |
| Margin Type | String(1) | Margin Type of the order placed. For 100% Margin - 1 For 0% Margin - 0. |
| Quantity | Long | Quantity for which order is to be modified. |
| Price | Big Decimal | Price at which order is to be modified Format: integer = Max 6 fraction = Max 2 |
| Operation Type | String(2) | This field denoted the operation to be performed: 'CF' – Carry forward |
| Order Id | String(16) | Order number of the bid. |

Client master Bulk file upload format

| Sr. No. | Field | Size | Value |
|---------|----------------|----------|--------------------------|
| 1 | Client ID | Char(10) | Client ID/account number |
| 2 | Client Name | Char(25) | Client Name |
| 3 | Participant Id | Char(12) | Participant Id |
| 4 | PAN | Char(10) | PAN |
| 5 | Operation Type | Char(1) | A-Add, D-Delete |

Branch Bulk Upload Format

| Sr No | Field | Type | Description |
|-------|-------------------|-----------|--|
| 1 | Trading Member Id | CHAR(5) | <ul style="list-style-type: none"> • Mandatory • Fixed length of 5 characters • Alpha-numeric. • Upper case alphabets only |
| 2 | Branch Id | Number(3) | <ul style="list-style-type: none"> • Mandatory • Max length of 3 digits |
| 3 | Branch Name | CHAR(25) | <ul style="list-style-type: none"> • Non Mandatory • Branch Name cannot have special characters other than period and space. |
| 4 | Branch Status | CHAR(10) | <ul style="list-style-type: none"> • Mandatory • Status of the branch can be one of the following: <ul style="list-style-type: none"> - Active - Suspended - Disable |

User master Bulk file upload format

| Sr. No. | Field | Size | Value |
|---------|-----------------------------|--------------|--|
| 1 | Member Id | Char(5) | Trading Member ID |
| 2 | Branch Id | Number(3) | Branch ID under which user is to be created. |
| 3 | User Name | Char(25) | User Name |
| 4 | Type | Char(12) | User Type: 0 - Dealer 5 - Branch Admin |
| 5 | Single Order Value Limit | Number(12,2) | This field denotes the " Per Order Value Limit " for this user. Any bid placed/modified by this user cannot exceed this limit. If numeric value zero is entered here, there will be no limit on the value of bids placed/modified by this user. |
| 6 | Single Order Quantity Limit | Number(12) | This field denotes the " Per Order Quantity Limit " for this user. Any bid placed/modified by this user cannot exceed this limit. If numeric value zero is entered here, there will be no limit on the quantity of bids placed/modified by this user. |
| 7 | Price Alert | Number(2,2) | The user will be alerted on the order entry screen if the user enters any order from E-OFS web based platform with price which is % Price alert above the base price for that security. Note that the user can still go-ahead and place orders. This field must be a valid number between 0 and 100 |
| 8 | Email ID | Char(256) | Email ID for receiving OTP. |
| 9 | Mobile Number | Char(10) | Mobile number to receive OTP for login. |

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|----|-----------------|---------|---|
| 10 | API Enable Flag | Boolean | If the user required API access - true If No API access required - false |
| 11 | PRO enable flag | Boolean | If the user is to be allowed to place/mod PRO orders - true If not to allow PRO orders - false |
| 12 | User Status | Char(5) | User Status Active/Suspend/Disable |